

Recognising Multidimensional Euclidean Preferences

Dominik Peters

Department of Computer Science
University of Oxford

COMSOC – 22 June 2016

Euclidean Preferences

Let $d \geq 1$ be an integer, let V be a finite set of voters, let A be a finite set of alternatives.

Definition of d -Euclidean preferences

A preference profile $(\succsim_i)_{i \in V}$ of linear orders is called d -**Euclidean** if there exists a map $x : V \cup A \rightarrow \mathbb{R}^d$ such that

$$a \succsim_v b \iff \|x(v) - x(a)\| < \|x(v) - x(b)\|$$

for all $v \in V$ and all $a, b \in A$.

Here, $\|(x_1, \dots, x_d)\| = \|(x_1, \dots, x_d)\|_2 = \sqrt{x_1^2 + \dots + x_d^2}$.

Euclidean Preferences: Direction of the Arrow

$$a \succ_v b \iff \|x(v) - x(a)\| < \|x(v) - x(b)\| \quad (1)$$

$$a \succ_v b \implies \|x(v) - x(a)\| < \|x(v) - x(b)\| \quad (2)$$

$$a \succ_v b \longleftarrow \|x(v) - x(a)\| < \|x(v) - x(b)\| \quad (3)$$

(This becomes more pressing when we allow ties.)

Euclidean Preferences: Direction of the Arrow

$$a \succ_v b \iff \|x(v) - x(a)\| < \|x(v) - x(b)\| \quad (1)$$

$$a \succ_v b \implies \|x(v) - x(a)\| < \|x(v) - x(b)\| \quad (2)$$

$$a \succ_v b \longleftarrow \|x(v) - x(a)\| < \|x(v) - x(b)\| \quad (3)$$

(This becomes more pressing when we allow ties.)

(1): ties = equidistant (Bogomolnaia and Laslier 2007)

(2): my favourite; ties impose no constraints

(3): multidimensional unfolding; degeneracies

Reconition Problem

d -EUCLIDEAN

Instance: set A of alternatives, profile V of strict orders over A

Question: is V d -Euclidean?

Case $d = 1$

For one dimension, the problem is solvable in polynomial time (Doignon and Falmagne 1994): use single-peakedness and single-crossingness to find the ordinal order of alternatives within \mathbb{R} , then use a linear program to search for the precise numbers.

Open: can you do this without solving an LP?

Case $d \geq 2$: this paper.

Main Result

Theorem.

For each fixed $d \geq 2$, the problem d -EUCLIDEAN is NP-hard. More precisely, the problem is $\exists\mathbb{R}$ -complete, that is, equivalent to the *existential theory of the reals*. Thus, it is contained in PSPACE.

Theory of the Reals

Formulas of the first-order *theory of the reals* are built from

- variable symbols x_i
- constant symbols 0 and 1
- addition, subtraction, multiplication symbols
- the equality ($=$) and inequality ($<$) symbols
- Boolean connectives (\vee, \wedge, \neg)
- universal and existential quantifiers (\forall, \exists)

The *theory of the reals* = all true sentences in this language.
(interpreted using the obvious semantics)

Existential Theory of the Reals

The *existential theory of the reals* (ETR) consists of the true sentences of the form

$$\exists x_1 \in \mathbb{R} \exists x_2 \in \mathbb{R} \dots \exists x_n \in \mathbb{R} \quad F(x_1, x_2, \dots, x_n)$$

with $F(x_1, x_2, \dots, x_n)$ a quantifier-free formula.

In other words, F is a Boolean combination of equalities and inequalities of real polynomials.

Definition of $\exists\mathbb{R}$

L is in the complexity class $\exists\mathbb{R}$ if L is poly-time reducible to the problem of deciding whether a given sentence is in ETR (i.e., true).

d -EUCLIDEAN: Containment

d -EUCLIDEAN is contained in $\exists\mathbb{R}$ for every $d \geq 1$.

Proof.

A profile is d -Euclidean if and only if there **exist** reals $x_{r,i} \in \mathbb{R}$ for each $r \in A \cup V$ and $i \in [d]$ such that if $a \succ_v b$, then

$$\sum_{i=1}^d (x_{v,i} - x_{a,i})^2 < \sum_{i=1}^d (x_{v,i} - x_{b,i})^2.$$

Thus, the problem is equivalent to asking whether a system of polynomial inequalities has a solution. This system can be constructed in polynomial time, given the profile. \square

Some $\exists\mathbb{R}$ -complete problems

“can a given combinatorial object be geometrically represented?”

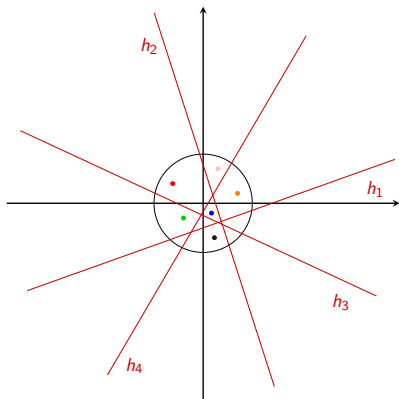
- Recognising intersection graphs of
 - line segments in the plane
 - unit disk graphs
 - unit distance graphs
 - ...
- Finding Nash equilibria in a non-cooperative game
- Realisability of hyperplane arrangements

Realisability of hyperplane arrangements

Input: a set $S \subseteq \{-, +\}^n$ of sign vectors

e.g., $S = \{(+, +, +, +), (-, +, +, -), (-, +, -, +), (-, +, -, -), (-, -, -, +), (-, -, -, -)\}$

Question: Can this be *realised* by oriented hyperplanes in \mathbb{R}^2 ?



Hardness

Theorem.

For each fixed $d \geq 2$, the problem d -EUCLIDEAN is $\exists\mathbb{R}$ -complete.

Theorem.

Recognising d -Euclidean preferences is $\exists\mathbb{R}$ -complete even for dichotomous preferences.

Theorem.

Recognising d -Dichotomous-Uniform-Euclidean (d -DUE) preferences is $\exists\mathbb{R}$ -complete. (see *Elkind and Lackner 2015*)

Forbidden Subprofiles: Single-Peaked

Some domain restrictions can be characterised by a finite list of *forbidden subprofiles*.

e.g., a profile is **single-peaked** iff it does not contain any of

v_1	v_2	v_3
a	b	c
b	c	a
c	a	b

v_1	v_2	v_3
a	c	a
b	b	c
c	a	b

v_1	v_2
d	d
a	c
b	b
c	a

v_1	v_2
d	c
a	d
b	b
c	a

v_1	v_2
a	c
d	d
b	b
c	a

(Ballester and Haeringer 2011)

Forbidden Subprofiles: Single-Crossing

a profile is **single-crossing** iff it does not contain any of

$v_1 \ v_2 \ v_3$ a b c b c a c a b	$v_1 \ v_2 \ v_3$ a b d b a a c d b d c c	$v_1 \ v_2 \ v_3$ a c c b a b c d d d b a	$v_1 \ v_2 \ v_3$ a d d b b c c a a d c b	$v_1 \ v_2 \ v_3$ a c d b b a c a c d d b	$v_1 \ v_2 \ v_3$ a a c b d d c c a d b b
$v_1 \ v_2 \ v_3$ a c d b b b c d c d a a	$v_1 \ v_2 \ v_3$ a b d b a a c d c d c b	$v_1 \ v_2 \ v_3$ a a b b d a c c d d b c	$v_1 \ v_2 \ v_3$ a b d b c b c a a d d c	$v_1 \ v_2 \ v_3$ a b c b d b c a a d c d	$v_1 \ v_2 \ v_3$ a a c b c a c d b d b d
$v_1 \ v_2 \ v_3$ a b c b a d c d b d c a	$v_1 \ v_2 \ v_3$ a c c b a b c d a d b d	$v_1 \ v_2 \ v_3$ a a d b d b c c c d b a	$v_1 \ v_2 \ v_3$ a b b b c d c a a d d c	$v_1 \ v_2 \ v_3$ a a c b c b c d d d b a	$v_1 \ v_2 \ v_3$ a e e b a b c b a d d c e c d
$v_1 \ v_2 \ v_3$ a a b b c c c b e d e a e d d	$v_1 \ v_2 \ v_3$ a b e b a b c d a d c c e e d	$v_1 \ v_2 \ v_3$ a b c b c b c a a d e d e d e	$v_1 \ v_2 \ v_3$ a b b b a a c c d d e e e d c	$v_1 \ v_2 \ v_3$ a a b b b a c e d d d c e c e	$v_1 \ v_2 \ v_3$ a a b b c c c b a d e e e d d
$v_1 \ v_2 \ v_3$ a a c b c a c e d d d e e b b	$v_1 \ v_2 \ v_3$ a a b b b a c e c d c e e d d	$v_1 \ v_2 \ v_3$ a b b b a c c c a d e d e d e	$v_1 \ v_2 \ v_3$ a b b b a a c c d d d c e f e f e f	$v_1 \ v_2 \ v_3 \ v_4$ a b c c b a a b c c b a	$v_1 \ v_2 \ v_3 \ v_4$ a a b b b b a a c d c d d c d c

Forbidden Subprofiles: d -Euclidean

Theorem

For each fixed $d \geq 2$, the d -Euclidean domain cannot be characterised by finitely many forbidden subprofiles.

Subject to $P \neq \exists \mathbb{R}$, this is obvious!

Forbidden Subprofiles: d -Euclidean

Theorem

For each fixed $d \geq 2$, the d -Euclidean domain cannot be characterised by finitely many forbidden subprofiles.

Subject to $P \neq \exists \mathbb{R}$, this is obvious! But we can prove it without assumptions via a connection to the theory of realisability of *oriented matroids*.

Theorem

For each fixed $d \geq 2$, there are d -Euclidean profiles with n voters and m alternatives such that every integral Euclidean embedding uses at least one coordinate that is

$$2^{2^{\Omega(n+m)}}.$$

On the other hand, every d -Euclidean profile can be realized by an integral Euclidean embedding whose coordinates are at most

$$2^{2^{O(n+m)}}.$$

Recognising Multidimensional Euclidean Preferences

Dominik Peters

Department of Computer Science
University of Oxford

COMSOC – 22 June 2016